



# SBA Information Notice

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**TO:** All SBA Employees, SBA Lenders, and  
Microloan Intermediaries

**CONTROL NO.:** 5000-20094

**SUBJECT:** Registration Required for System for  
Award Management (SAM.gov)

**EFFECTIVE:** February 12, 2021

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The purpose of this Notice is to inform all SBA employees, SBA Lenders, and Microloan Intermediaries that, on August 13, 2020, the Office of Management and Budget (OMB) issued [Guidance for Grants and Agreements](#) that, among other things, requires recipients of Federal loans, loan guarantees, and other types of Federal financial assistance to register in the System for Awards Management (SAM or [SAM.gov](#)) and receive a unique entity identifier.<sup>1</sup> This requirement implements the Federal Financial Accountability and Transparency Act of 2006 (FFATA).

The requirement to complete SAM registration and obtain a unique entity identifier applies to the following entities that participate in SBA business loan programs:

- 7(a) Lenders, including Paycheck Protection Program (PPP) Lenders
- Certified Development Companies (CDCs)
- Microloan Intermediaries

OMB guidance requires these entities to complete and maintain active SAM registration to be eligible to continue to participate in SBA programs. To maintain active registration, entities must review and update information in the SAM database on an annual basis from the date of initial registration or subsequent updates to ensure it is current, accurate, and complete.

SBA will be revising its regulations, SOPs, forms, and electronic systems (e.g., the Capital Access Financial System) to reflect this requirement, capture each entity's unique entity identifier, outline SBA's verification procedures, and explain possible consequences for noncompliance. In anticipation of these revisions, 7(a) Lenders (including PPP Lenders), CDCs, and Microloan Intermediaries are encouraged to complete SAM registration as soon as possible if they have not done so already.

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<sup>1</sup> 2 CFR Part 25.

Given the exigent circumstances in which small businesses and lenders currently find themselves due to the coronavirus (COVID-19) pandemic, PPP Lenders will have thirty (30) days from the date of the first PPP loan disbursement made by them on or after December 27, 2020 to complete SAM registration.<sup>2</sup>

### **Where to submit the unique identifier also known as CAGE Code Number?**

Please send a one-time email to [PPPSAM@sba.gov](mailto:PPPSAM@sba.gov) with the following information:

1. In the Subject Line: SAM CAGE Code
2. In the Body of the email include:
  - a. Lending Institution Name as listed on the executed 750, 750CA, 3506 or 3507 Lender agreement
  - b. Location ID ( same as above)
  - c. CAGE Code Number
  - d. DUNS# (optional)
  - e. Date of registration and/or renewal date.

No further action is required by the lender.

### **Questions**

Questions concerning this Notice may be directed to the Lender Relations Specialist in the [local SBA Field Office](#).

Dianna L. Seaborn  
Director  
Office of Financial Assistance

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<sup>2</sup> See 2 CFR 25.110(c)(2)(iii).